

Date

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Y

Y

Distributor ARN	Sub-Distributor ARN	Sol ID / Internal Sub-Broker	Employee Code	EUIN	Serial No., Date & Time Stamp
ARN	ARN			E	

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

☐ "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

Power of Attorney Holder

EXISTING FOLIO NUMBER OR APPLICATION NO.

PAN (mandatory) Enclosed ☐ PAN Proof ☐ KYC Acknowledgment letter

FLEXIBLE SYSTEMATIC TRANSFER PLAN (Flex STP) (To be submitted at least 7 days before the 1st due date for transfer).

From Scheme#

Plan

Option (tick ✓) ☐ Growth ☐ Dividend Reinvestment ☐ Dividend Payout ☐ Bonus

Dividend Frequency

To Scheme

Plan

Option (tick ✓) ☐ Growth (Ref. Instruction 7)

Flexible Systematic Transfer Plan (Flex STP)

Transfer Frequency (Please tick (✓) any one of the below frequencies)

☐ Monthly \$

1st

7th

10th

15th

25th

☐ Quarterly \$

Transfer Instalment ₹

Total Transfer ₹

No. of Instalments

OR

Transfer Period From

D

D

M

M

Y

Y

(First Instalment)

D

D

M

M

Y

Y

(Last Instalment)

\$ Ref Instruction 10.
*Please refer to the Scheme Information Documents of respective schemes for Flex STP availability. Flex STP out available and registered under Axis Long Term Fund, only For the Units which are Free of 3 years lock-in.

Having read and understood the contents of the Scheme Information Document of the Scheme(s), I / we hereby apply for units of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s). I/ We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I / We have understood the details of the Scheme(s) & I / we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I / We confirm that the funds invested in the Scheme(s), legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I / we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the Law.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

For NRIs only: I / We confirm that I am / we are Non Residents of Indian nationality / origin and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR account.

I / We confirm that details provided by me / us are true and correct.

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

POA Holder

Flex STP Enrolment Form - Instruction

1. The Flex STP Enrolment Form should be completed in English and in Block Letters only. Please tick in the appropriate box, where boxes have been provided. The Flex STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of Axis Mutual Fund.
2. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of Axis Mutual Fund, brokers/distributors and also displayed at the Axis Mutual Fund website i.e. www.axismf.com
3. Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number. Unit holders' names should match with the details in the existing folio number, failing which; the application is liable to be rejected.
4. The Flex Systematic Transfer Plan ("Flex STP") is a facility wherein Unit holder(s) of designated open-ended Scheme(s) of Axis Mutual Fund can opt to transfer variable amount(s) linked to value of investments under Flex STP on the date of transfer at predetermined intervals from designated open-ended Scheme(s) of Axis Mutual Fund ("Source Scheme") to the Growth Option of designated open-ended Scheme(s) (Target Scheme).
5. The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document(s) of the respective designated Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document(s) of the respective designated Transferee Schemes will not be applicable for Flex STP.
6. Unit holders are required to fill in either the number of installments or the enrolment period in the enrolment Form, failing which the Form is liable to be rejected.
7. The Flex Systematic Transfer is available only in "Growth" option of the target scheme.
8. One Flex STP Enrollment Form can be filled for transfer into one Scheme/Plan only.
9. In case of valid enrollment forms received, indicating choice of option other than the Growth Option in the Target Scheme, it will be deemed as the Growth option in the Target Scheme and processed accordingly.
10. Flex STP offers transfer facility at Monthly and Quarterly intervals. Unit holder is free to choose the frequency of such transfers. If frequency is not mentioned in request, Monthly frequency shall be treated as the default frequency and 10th shall be treated as default date.
11. Under Flex STP, the amount to be transferred in the Transferee Scheme on the date of transfer shall be higher of:
 - Fixed amount to be transferred per installment; or
 - The amount determined by the formula: (fixed amount to be transferred per installment X number of installments including the current installments) minus market value of the investments through Flex STP.

Illustration:

Flex STP that transfers ₹ 3,000/- every month from the Debt Fund to an Equity Fund.

Transferor Scheme:	Axis Income Saver
Transferee Scheme:	Axis Equity Fund - Growth Option
Date & Frequency of Flex STP :	10th date - Monthly Interval
Amount of Transfer per Installment:	₹ 3,000/-
Number of Installments:	12
Enrolment Period :	January to December 2014

Note: The Flex STP installment dates above are assumed to be Business Days.

Please ref. below table for calculation

No. of Installment	Month	NAV per Unit (Rs.) Market	Value (Rs.)	Amount Invested* (Rs.)	Cumulative Amount Invested (Rs.)	Units Bought	Cumulative Units Bought	Net Average Cost per Unit (Rs.)
1	January - 14	10	0.00	3,000	3000.00	300.00	300.00	10.00
2	February - 14	12	3,600.00	3,000	6000.00	250.00	550.00	10.91
3	March - 14	11	6,050.00	3,000	9000.00	272.73	822.73	10.94
4	April - 14	9	7,404.55	4,595	13595.45	510.61	1333.33	10.20
5	May - 14	7	9,333.33	5,667	19262.12	809.52	2142.86	08.99
6	June - 14	8	17,142.86	3,000	22262.12	375.00	2517.86	08.84
7	July - 14	10	25,178.57	3,000	25262.12	300.00	2817.86	08.97
8	August - 14	12	33,814.29	3,000	28262.12	250.00	3067.86	09.21
9	September - 14	13	39,882.14	3,000	31262.12	230.77	3298.63	09.48
10	October - 14	14	46,180.77	3,000	34262.12	214.29	3512.91	09.75
11	November - 14	15	52,693.68	1,738	36000.00	115.86	3628.77	09.92
12	December - 14	13	47,174.02					

Calculation of Flex STP

A. Second installment i.e. February 10, 2014:

- a. Total 300 units allotted up to the date of last installment i.e. January 10, 2014
- b. The NAV of AXIS Equity Fund - Growth Option on February 10, 2014 is assumed as ₹ 12/- per unit;
- c. Hence the market value of the investment in the Target/ Transferee Scheme on the date of transfer is ₹ 3,600[300 X 12]

Fixed Amount Specified at the time of enrolment	₹ 3000/-
or	
As determined by the formula	= (3,000 X 2) - 3,600
	= ₹ 2,400/-

Whichever is higher : Hence, on February 10, 2014, the installment amount transferred to the Transferee Scheme / debited to the investor's account will be ₹ 3,000.

B. Fourth installment i.e. April 10, 2014:

- a. Total units allotted up to the date of last installment i.e. March 10, 2014 is assumed as 822.73;
- b. NAV of AXIS Equity Fund - Growth Option on April 10, 2014 is assumed as ₹ 9/- per unit;
- c. Hence the market value of the investment in the Target / Transferee Scheme on the date of transfer is ₹ 7,404.55[822.73 X 9]

Fixed Amount Specified at the time of enrolment	₹ 3000/-
or	
As determined by the formula	= (3,000 X 4) - 7,404.55
	= ₹ 4,595

Whichever is higher : Hence, on April 10, 2014, the installment amount transferred to the Transferee Scheme / debited to the investor's account will be ₹ 4,595

12. In case the amount (as specified above) to be transferred is not available in the Sources Scheme in the unit holder's account, the residual amount will be transferred to the Target Scheme and Flex STP will be closed.

13. The minimum number of installments for enrollment and Amount under Flex SIP.

Frequency under Flex STP Facility	Minimum Installments	Minimum Flex STP amount*(₹)
Monthly (1st, 7th, 10th, 15th or 25th)	6	1,000.00
Quarterly (1st, 7th, 10th, 15th or 25th)	2	3,000.00

*For Axis Long Term Equity Fund minimum amount of ₹ 500/- for all frequencies and thereafter in multiples of ₹ 500/-.

Note: - Flex STP out under Axis Long Term Equity Fund for those investments which have completed lock in period of 3 years.

14. In case the Start Date is mentioned but End Date is not mentioned, the application will be registered for the minimum number of installments.
15. The application for Flex STP enrolment - Monthly & Quarterly Interval should be submitted at least 7 Days and not more than 90 days before the desired commencement date.
16. A minimum period of 7 working days shall be required for registration under Flex STP. Units will be allotted/redeemed at the applicable NAV of the respective dates of the Scheme on which such investments/withdrawals are sought from the Scheme.
17. The AMC reserves the right to introduce Flex STPs at any other frequencies or on any other dates as the AMC may feel appropriate from time to time. In the event that such a day is a Holiday, the transfer would be affected on the next Business Day.
18. The requests for discontinuation of Flex STP shall be subject to an advance notice of 15 days before the next due date for Flex STP.
19. Flex STP will terminate automatically if all Units are liquidated or withdrawn from the account or upon the Funds' receipt of notification of death or incapacity of the Unit holder. Further, in case where the balance amount in a folio is less than the Flex STP amount, the entire amount will be transferred to the transferee scheme.
20. If Flex STP date/day is a non-Business Day, then the next Business Day shall be the Flex STP Date / Day and the same will be considered for the purpose of determining the applicability of NAV.
21. The Trustee reserves the right to change/modify the terms and conditions of the Flex STP. For the updated terms and conditions of Flex STP, contact the nearest ISC or visit our website www.axismf.com.